PLEDGE REQUEST FORM (PRF)

❑ **Setup of Pledge**   ❑ **Confirmation of Pledge**

To,
MAX STOCK BROKING PVT LTD.
2/DESAI SOCIETY
NR.MAHADEV TEMPLE
NADIAD.387001
DP ID 60400

Please fill all the details in **Block Letters** in English

PRF No.		Date	D	D	M	M	Y	Y	Y	Y

I/We request you to **create the pledge / confirm the creation of pledge for** the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws   in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

Pledgor's Details

DP ID								Client ID								
Pledgor's Name	1. 2. 3.															

Pledgee's Details

DP ID								Client ID								
Pledgee's Name	1. 2. 3.															

Details of Securities: ❑ **Free Securities** ❑ **Locked–in Securities**

Details of Securities offered for Pledge.

Sr. no	ISIN	Company Name	Quantity	Date of Release (lock-in)	PSN (System Generated)	Accepted / Rejected by Pledgee	$Pledged value

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

Pledge Execution Date	D	D	M	M	Y	Y	Y	Y
Pledge Expiry Date	D	D	M	M	Y	Y	Y	Y
$ **Total** Pledge Value (Rs.)								
Agreement No.								
Date of Pledging	D	D	M	M	Y	Y	Y	Y

To be filled and signed in case of Set-up of Pledge by Pledgor BO

Signature of the Pledgor		
First/ Sole Applicant	**Second Applicant**	**Third Applicant**

To be filled in case of acceptance of Pledge by Pledgee BO

As a pledgee, I/We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

Signature of Pledgee(s) *

First Holder	**Second Holder**	**Third Holder**

    * If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.
$ Reference Communiqué no. **CDSL/OPS/DP/POLCY/3731** dated June 10, 2013

Depository Participant Seal and Signature

Unpledge Request Form (URF)

❑ **Unpledge by Pledgor** ❑ **Unpledge by pledgee**

To,
MAX STOCK BROKING PVT LTD.
2/DESAI SOCIETY
NR.MAHADEV TEMPLE
NADIAD.387001
DP ID 60400

Please fill all the details in **Block Letters** in English

URF No.		Date	D	D	M	M	Y	Y	Y	Y

I/We request you to set up an **Unpledge** request on my / our behalf. I / We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to unpledge of securities and I / We agree to abide by and be bound by the Act, Regulations and the Bye Laws t as are in force from time to time for such unpledge requests.

Pledgor's Details																
DP ID								Client ID								
Pledgor's Name	1.															
	2.															
	3.															

Pledgee's Details																
DP ID								Client ID								
Pledgee's Name	1.															
	2.															
	3.															

Date of Unpledging	D	D	M	M	Y	Y	Y	Y

Sr. No	PSN	ISIN	Name of the Security	Total Quantity pledged	Quantity to be unpledged	$ Accepted / rejected by Pledgee

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

The unpledge request is being set up for the reasons mentioned hereunder: -

I / We declare that the above particulars given by me/ us above are true to the best of my/ our knowledge.

To be filled and signed in case of Set-up of Unpledge by Pledgee BO

Signature of the Pledgee		
First/ Sole Applicant	**Second Applicant**	**Third Applicant**

To be filled and signed in case of Set-up of unpledge by Pledgor BO

Signature of the Pledgor		
First / Sole Applicant	**Second Applicant**	**Third Applicant**

Depository Participant seal and signature